

County Offices
Newland
Lincoln
LN1 1YL

11 November 2016

Audit Committee

A meeting of the Audit Committee will be held on **Monday, 21 November 2016 at 10.00 am in Committee Room One, County Offices, Newland, Lincoln LN1 1YL** for the transaction of the business set out on the attached Agenda.

Yours sincerely



Tony McArdle
Chief Executive

Membership of the Audit Committee
(7 Members of the Council + 1 Voting Added Member)

Councillors Mrs S Rawlins (Chairman), Mrs E J Sneath (Vice-Chairman), N I Jackson, Miss F E E Ransome, S M Tweedale, W S Webb and P Wood

Voting Added Member

Mr P D Finch, Independent Added Person

**AUDIT COMMITTEE AGENDA
MONDAY, 21 NOVEMBER 2016**

Item	Title	Pages
1	Apologies for Absence	
2	Declarations of Members' Interests	
3	Minutes of the meeting held on 26 September 2016	5 - 12
4	KPMG's Review of Agresso Implementation and Management of Serco Contract <i>(To receive a report by Richard Wills, Monitoring Officer, which provides the Committee with an opportunity to consider the report by KPMG on the Review into the Serco Contract which was commissioned by the Audit Committee in June 2016 as a result of a resolution of the Council on 20 May 2016)</i>	13 - 80
5	Internal Audit Progress Report <i>(To receive a report from Fiona Thompson, Service Manager – People, which provides an update on the actions being taken to address and rectify the issues identified in both the Payroll and Pensions Audits)</i>	81 - 84
6	Internal Audit - External Quality Assessment <i>(To receive a report from Lucy Pledge, Audit and Risk Manager, which provides the Committee with information on the result of the external quality assessment undertaken in September 2016)</i>	85 - 112
7	Risk Management Report - Independent Evaluation of Risk Management maturity - November 2016 <i>(To receive a report from Debbie Bowring, Principal Risk Officer, which provides an update on how well the Council's risk management processes are fit for purpose and in line with best practice)</i>	113 - 158
8	Final External Audit ISA 260 Governance report on the Council's Financial Statements and the Value for Money Conclusion <i>(To receive a report from KPMG, the Council's External Auditors in relation the Final External Audit ISA 260 Governance report on the Council's Financial Statements and the Value for Money Conclusion)</i>	To Follow
9	Counter Fraud Progress Report to 31 October 2016 <i>(To receive an update by Lucy Pledge, Audit and Risk Manager, which provides an update on the Council's fraud investigation activities and information on progress made against the Council's Counter Fraud Work Plan 2016/17)</i>	159 - 176

10 Whistleblowing Annual Report 2015-16 177 - 196
(To receive a report by Lucy Pledge, Audit and Risk Manager, which provides an overview of the Council's whistleblowing arrangements throughout the year 2015/16)

11 Audit Committee Work Plan 197 - 204
(To receive a report which provides the Committee with information relevant to the core assurance activities currently scheduled for the 2016/17 work plan)

REPORTS FOR INFORMATION

(These reports are for information only and do not require discussion at this time. Any issues the Committee feel require discussion can be highlighted under the work plan item and scheduled for a future meeting)

12 Draft County Council Report - Appointment of External Auditors 205 - 210
(To receive the draft Council report in relation to the Appointment of External Auditors for information only)

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Please note: for more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting

- Business of the meeting
- Any special arrangements
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Contact details set out above.

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